

BALTIMORE COUNTY ETHICS COMMISSION  
400 Washington Avenue, Room 219  
Towson, Maryland 21204  
(410) 887-5904

RECEIVED  
FEB 20 2018  
LAW OFFICE

FINANCIAL DISCLOSURE STATEMENT - FORM #1

Instructions:

1. Fill in the preliminary information requested in the box below. Be sure to correctly identify the reporting period.
2. Upon completion of your financial disclosure statement, sign and date the lower portion of the page.

Regular Reporting Period: January 1 through December 31, 2017  
or  
Termination Report: January 1 through \_\_\_\_\_, 2017

PLEASE PRINT OR TYPE

FIRST NAME	INITIAL	LAST NAME
Thomas	E	Quirk
AGENCY AFFILIATION (INCLUDE DEPARTMENT AND UNIT WHERE APPLICABLE)		
Baltimore County Council		
CURRENT AGENCY ADDRESS (WHERE YOU CAN BE SENT CORRESPONDENCE)		
754 Frederick Road, Catonsville MD 21228		
CURRENT POSITION OR OFFICE HELD WITH COUNTY, IF ANY (OR OFFICE FOR CANDIDACY)		
Councilmember - District 1		
E-MAIL ADDRESS		
council1@baltimorecountymd.gov		

This financial disclosure statement describes all interests and related transactions and matters required to be disclosed by the Baltimore County Public Ethics Law, Baltimore County Code (2015), Article 7, Title 1, Subtitle 4, with respect to the period indicated and pertaining to the person filing the statement. The statement consists of this cover sheet, the checklist, and Schedules A through I.

I hereby make oath or affirm under the penalties of perjury that the contents of this financial disclosure statement, including the Schedules attached hereto, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing:

(SEAL)

DATE:

2/20/2018

Privacy Notice

The County Ethics Law requires the collection of this information, which will be used primarily for public disclosure and to determine compliance with the Law. The information may be disclosed to any requesting person, including officials of State, local or federal government, who records their name and address, and this record will be provided to the filer upon request. Financial information provided by ELECTED OFFICIALS ONLY will be posted on the County's website. The subject has the right to review, correct and amend the record as set forth in the County Ethics Law §7-1-405. Failure to file or to report information required by County Ethics Law can subject you to civil and administrative penalties including termination or other disciplinary action, suspension of pay, a late filing fee up to \$250, and a civil fine of up to \$1,000 per day. Willful and false filing is subject to criminal penalty for perjury pursuant to Criminal Law Article §9-101, Annotated Code of Maryland.

## Schedule A - Real Property Interests

Do you have any interest (as an owner or a tenant, including interests in time shares) in real property in Baltimore County or in any other state or country?

Yes  No  - (If no, go to Schedule B)

**If Yes; (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)**

1. What is the address or legal description of the property? (Give Street Address, if you know it. If the property is your primary residence, you may enter the lot and block legal description instead, if you wish)

see  
2A,  
2B,  
2C

Street Address: 2619 Westchester Avenue  
City/State/Zip: Ellicott City (Oella), MD 21043

2. What kind of property is it?

Improved  
(indicate whether property is  residential or  commercial)

Unimproved (vacant lot)

3. Is the interest held directly by you or is it attributable to you? (See Paragraph E of Instructions for definition of "Attributable.")

Direct  Attributable

4. Are you the owner or tenant?

Owner  Tenant

5. Do you hold the interest solely or is it jointly held with another?

Solely  Jointly  Tenants by the Entirety

If held jointly, or by tenants by the entirety, the name(s) of the other joint owner(s): \_\_\_\_\_

6. Are there any legal conditions or encumbrances on the property? (Example: mortgages, liens, contracts, options, etc.)

Yes  No

**If Yes,** what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc? BB&T

7. What date was the property acquired? 7/29/2016

8. How was the property acquired? (Example: purchase, gift, inheritance, etc.)  
purchase

9. From whom was the property acquired? (Name of individual or entity from whom you purchased or inherited the property or who gifted the property to you.) Catherine Higbee

10. What consideration was given when the property was acquired? (Dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)  
\$685,000

11. Have you transferred any interest in this property during the reporting period?

Yes  No

**If Yes;**

11.A. What percentage of interest did you transfer: \_\_\_\_\_%

11.B. What consideration did you receive for the interest: \_\_\_\_\_

11.C. To whom did you transfer the interest: \_\_\_\_\_

**If you have any additional interests in real property in Baltimore County, any other county, any other state, or any other country, please use additional sheet(s), if necessary, and respond to each above question for each such entry.**

**Schedule A - Real Property Interests**

Do you have any interest (as an owner or a tenant, including interests in time shares) in real property in Baltimore County or in any other state or country?

Yes  No  - (If no, go to Schedule B)

**If Yes;** (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)

1. What is the address or legal description of the property? (Give Street Address, if you know it. **If the property is your primary residence, you may enter the lot and block legal description instead, if you wish**)

Street Address: 1402 Edmondson Avenue  
City/State/Zip: Catonsville, MD 21228

2. What kind of property is it?

Improved  
(indicate whether property is  residential or  commercial)

Unimproved (vacant lot)

3. Is the interest held directly by you or is it attributable to you? (See Paragraph E of Instructions for definition of "Attributable.")

Direct  Attributable

4. Are you the owner or tenant?

Owner  Tenant

5. Do you hold the interest solely or is it jointly held with another?

Solely  Jointly  Tenants by the Entirety

If held jointly, or by tenants by the entirety, the name(s) of the other joint owner(s): Siri Svaeren

6. Are there any legal conditions or encumbrances on the property? (Example: mortgages, liens, contracts, options, etc.)

Yes  No

**If Yes,** what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc? Wells Fargo Mortgage

7. What date was the property acquired? 2/14/2002

8. How was the property acquired? (Example: purchase, gift, inheritance, etc.)  
purchase

9. From whom was the property acquired? (Name of individual or entity from whom you purchased or inherited the property or who gifted the property to you.) Richard Davies

10. What consideration was given when the property was acquired? (Dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)  
\$224,900

11. Have you transferred any interest in this property during the reporting period?

Yes  No

**If Yes;**

11.A. What percentage of interest did you transfer: \_\_\_\_\_%

11.B. What consideration did you receive for the interest: \_\_\_\_\_

11.C. To whom did you transfer the interest: \_\_\_\_\_

**If you have any additional interests in real property in Baltimore County, any other county, any other state, or any other country, please use additional sheet(s), if necessary, and respond to each above question for each such entry.**

## Schedule A - Real Property Interests

Do you have any interest (as an owner or a tenant, including interests in time shares) in real property in Baltimore County or in any other state or country?

Yes  No  - (If no, go to Schedule B)

**If Yes; (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)**

1. What is the address or legal description of the property? (Give Street Address, if you know it. **If the property is your primary residence, you may enter the lot and block legal description instead, if you wish**)

Street Address: 1 Bryans Mill Way  
City/State/Zip: Catonsville, MD 21228

2. What kind of property is it?

Improved  
(indicate whether property is  residential or  commercial)

Unimproved (vacant lot)

3. Is the interest held directly by you or is it attributable to you? (See Paragraph E of Instructions for definition of "Attributable.")

Direct  Attributable

4. Are you the owner or tenant?

Owner  Tenant

5. Do you hold the interest solely or is it jointly held with another?

Solely  Jointly  Tenants by the Entirety

If held jointly, or by tenants by the entirety, the name(s) of the other joint owner(s): \_\_\_\_\_

6. Are there any legal conditions or encumbrances on the property? (Example: mortgages, liens, contracts, options, etc.)

Yes  No

**If Yes,** what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc? BB&T

7. What date was the property acquired? 3/11/2015

8. How was the property acquired? (Example: purchase, gift, inheritance, etc.)  
purchase

9. From whom was the property acquired? (Name of individual or entity from whom you purchased or inherited the property or who gifted the property to you.) Karen Edwards

10. What consideration was given when the property was acquired? (Dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)  
\$310,000

11. Have you transferred any interest in this property during the reporting period?

Yes

No

**If Yes;**

11.A. What percentage of interest did you transfer: \_\_\_\_\_%

11.B. What consideration did you receive for the interest: \_\_\_\_\_

11.C. To whom did you transfer the interest: \_\_\_\_\_

**If you have any additional interests in real property in Baltimore County, any other county, any other state, or any other country, please use additional sheet(s), if necessary, and respond to each above question for each such entry.**

# Schedule B - Interests in Corporations and Partnerships

Did you have any interest in any corporations, partnerships, limited liability partnerships (LLP) or limited liability companies (LLC) during the reporting period whether or not the entity did business with the County?

Yes  No  - (If no, go to Schedule C)

**If Yes;** (Answer each question below. A separate Schedule B will be required for each interest you need to disclose.)

1. What is the name of the entity? Include the complete name of the entity, do not identify solely by trading symbol: SEE ATTACHMENT

2. Does the stock of the corporation trade on a stock exchange?

Yes  No

**If "No,"** the legal address of the entity's principal office.

3. Is the interest held directly by you or is it attributable to you? (See Paragraph E of Instructions for definition of "Attributable.")

Directly:  Attributable:

4. Do you hold the interest in your name alone, or is it held jointly?

In your name alone:  Jointly:

If jointly, the percentage of your interest: Both - see attachment %

5. What is the nature of your interest and its dollar value or the number of shares? (Example: stock, notes, bonds, puts, calls, straddles, purchase options, etc.) If in a non-publicly traded entity or LLP or LLC, report the percentage of ownership.

Type: see attachment

Dollar Value of Shares: \_\_\_\_\_ or Number of Shares: \_\_\_\_\_

percentage of ownership: \_\_\_\_\_ %

6. Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

Yes  No

**If Yes,** name of entity holding the encumbrance:

\_\_\_\_\_



7. Did you acquire an interest in the entity during the reporting period?

Yes  No

**If Yes:**

7A. In what month was the interest acquired?

see attachment

7B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.):

\_\_\_\_\_

7C. From whom did you acquire the interest in the entity? (If you purchased it from a brokerage, the name of the brokerage):

\_\_\_\_\_

7D. What consideration was given when the interest was acquired? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property):

\_\_\_\_\_

8. Have you transferred any interest in this entity during the reporting period?

Yes  No

**If Yes:**

8A. What portion of the interest was transferred?

see attached

8B. What consideration did you receive for the interest in the entity? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property):

see attached

8C. To whom did you transfer your interest in the entity?  
bought and sold stocks in the open market

**If you have additional interests in corporations or partnerships, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.**

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Investment Option	Current Investment Election	Units
<i>Tom 457(b)</i>		
457(b) Plan		
AmFds Invmt Co Am R5E	10.00%	32,042.6901
Fid OTC	25.00%	488.8058
JPM MdCap Val I	25.00%	16,548.5875
NeuBer Genesis Inv	25.00%	5,447.3014
Opp Gtbl Y	0.00%	13,346.2627
TRowePr Eq Inc I	15.00%	31,483.7185
<b>TOTAL</b>	<b>100.00%</b>	

*Tom - SEP IRA*

Description	Symbol/ CUSIP	Type / Loc	Quantity
MICRON TECHNOLOGY INCORPORATED	MU	1 / 00S	300L
SHOPIFY INCORPORATED CLASS A	SHOP	1 / 00S	400L
SYNCHRONY FINL	SYF	1 / 00S	1,000L
TENCENT HLDGS LIMITED ADR	TCEHY	1 / 00S	1,000L
UNDER ARMOUR INCORPORATED CLASS A	UAA	1 / 00S	250L
UNDER ARMOUR INCORPORATED CLASS C	UA	1 / 00S	251L
Total: 23			

*Tom Roth IRA*

Description	Symbol/ CUSIP	Type / Loc	Quantity
CASH	CASH		
AMERICAN INTERNATIONAL GROWTH & INCOME FUND CLASS A M/F	IGAAX	1 / 86X	166.188L
BANK AMER CORPORATION	BAC	1 / 00S	500L
BLACKROCK GLOBAL DIVIDEND PORTFOLIO FUND CLASS A M/F	BABDX	1 / 86X	98.333L
COMCAST CORPORATION NEW CLASS A	CMCSA	1 / 00S	150L
FRANKLIN INCOME FUND CLASS C M/F	FCISX	1 / 86X	5,624.86L
INCOME FUND OF AMERICA CLASS A - AMERICAN FUNDS M/F	AMECX	1 / 86X	392.695L
INTERNATIONAL PAPER COMPANY	IP	1 / 00S	350L
JPMORGAN UNDISCOVERED MANAGERS BEHAVIORAL VAL CLS A M/F	UBVAX	1 / 86X	275.756L
JPMORGAN VALUE ADVANTAGE FUND CLASS A M/F	JVAAX	1 / 86X	586.268L
Total: 10			

Tan IND acct

Description	Symbol/ CUSIP	Type / Loc	Quantity
CASH	CASH		
GOPRO INCORPORATED CLASS A	GPRO	1 / 00S /DR	20L
Total: 2			

TEODY 529

Description	Symbol/ CUSIP	Type / Loc	Quantity
CASH	CASH		
AMERICAN VA 529 EUROPACIFIC GROWTH FUND CLASS A M/F	CEUAX	1 / 86X	70.841L
AMERICAN VA 529 INCOME FUND OF AMERICA CLASS A M/F	CIMAX	1 / 86X	1,389.948L
AMERICAN VA 529 INTERNATIONAL GROWTH & INCOME FUND CL A M/F	CGIAX	1 / 86X	443.789L
Total: 4			

SOFIE 529

Description	Symbol/ CUSIP	Type / Loc	Quantity
CASH	CASH		
AMERICAN VA 529 INCOME FUND OF AMERICA CLASS A M/F	CIMAX	1 / 86X	1,076.021L
AMERICAN VA 529 INTERNATIONAL GROWTH & INCOME FUND CL A M/F	CGIAX	1 / 86X	302.696L
Total: 3			

SIRI SEP IRA

Description	Symbol/ CUSIP	Type / Loc	Quantity
CASH	CASH		
AMERICAN INTERNATIONAL GROWTH & INCOME FUND CLASS A M/F	IGAAX	1 / 86X	241.397L
COMCAST CORPORATION NEW CLASS A	CMCSA	1 / 00S	182L
FIRST EAGLE GLOBAL FUND CLASS A M/F	SGENX	1 / 86X	141.915L
FRANKLIN INCOME FUND CLASS A M/F	FKINX	1 / 86X	5,603.219L
JPMORGAN EQUITY INCOME FUND CLASS A M/F	OIEIX	1 / 86X	1,160.528L
JPMORGAN VALUE ADVANTAGE FUND CLASS A M/F	JVAAX	1 / 86X	375.421L
LORD ABBETT GROWTH LEADERS FUND CLASS A M/F	LGLAX	1 / 86X	374.498L
Total: 8			

28 00

*SIRI ROTH IRA*

Description	Symbol/ CUSIP	Type/ Loc	Quantity
CASH	CASH		
AMERICAN INTERNATIONAL GROWTH & INCOME FUND CLASS A M/F	IGAAX	1/86X	142.844L
FRANKLIN INCOME FUND CLASS A M/F	FKINX	1/86X	522.616L
INCOME FUND OF AMERICA CLASS A - AMERICAN FUNDS M/F	AMECX	1/86X	197.676L
JPMORGAN VALUE ADVANTAGE FUND CLASS A M/F	JVAAX	1/86X	219.897L
LORD ABBETT GROWTH LEADERS FUND CLASS A M/F	LGLAX	1/86X	190.423L
Total: 6			

*Tom - SPP IRA*

Description	Symbol/ CUSIP	Type/ Loc	Quantity
CASH	CASH		
ALIBABA GROUP HLDG LIMITED SPONSORED ADS	BABA	1/00S	250L
ALLERGAN PLC SHS	AGN	1/00S	100L
ALPHABET INCORPORATED CAP STK CLASS C	GOOG	1/00S	50L
AMAZON COM INCORPORATED	AMZN	1/00S	60L
APPLE INCORPORATED	AAPL	1/00S	250L
BANK AMER CORPORATION	BAC	1/00S	1,000L
CITIGROUP INCORPORATED COM NEW	C	1/00S	20L
COMCAST CORPORATION NEW CLASS A	CMCSA	1/00S	700L
DISNEY WALT COMPANY COMDIS DISNEY	DIS	1/00S	100L
FRANKLIN INCOME FUND CLASS A M/F	FKINX	1/86X	7,484.752L
HENNESSY SMALL CAP FINANCIAL FUND INVESTOR CLASS N/L	HSFNX	1/86X	1,704.045L
ICU MED INCORPORATED	ICUI	1/00S	175L
INCOME FUND OF AMERICA CLASS A - AMERICAN FUNDS M/F	AMECX	1/86X	533.941L
INTERNATIONAL PAPER COMPANY	IP	1/00S	200L
JPMORGAN CHASE & COMPANY	JPM	1/00S	350L
JPMORGAN UNDISCOVERED MANAGERS BEHAVIORAL VAL CLS A M/F	UBVAX	1/86X	347.888L

Account Name	Account Number	Date	Trade Date	Settlement Date	Symbol / CUSIP	Description	Category	Activity Type	Quantity
Siri SEP IRA	[REDACTED]	05/08/2017	05/08/2017	05/11/2017	CMCSA	COMCAST CORPORATION NEW CLASS A (CMCSA)	Purchase	Purchase	-65.00000
Siri SEP IRA	[REDACTED]	05/08/2017	05/08/2017	05/11/2017	CMCSA	COMCAST CORPORATION NEW CLASS A (CMCSA)	Purchase	Purchase	65.00000
Siri SEP IRA	[REDACTED]	05/08/2017	05/08/2017	05/11/2017	CMCSA	COMCAST CORPORATION NEW CLASS A (CMCSA)	Purchase	Purchase	117.00000
Siri SEP IRA	[REDACTED]	05/05/2017	05/05/2017	05/10/2017	FGFAX	FEDERATED INTERNATIONAL LEADERS FUND CLASS A M/F (FGFAX)	Sale/Redemption	Sale	-225.82200
Siri SEP IRA	[REDACTED]	05/05/2017	05/05/2017	05/08/2017	RSNRX	VICTORY GLOBAL NATURAL RESOURCES FUND CLASS A M/F (RSNRX)	Sale/Redemption	Sale	-218.86800
Thomas Roth	[REDACTED]	05/04/2017	05/04/2017	05/09/2017	TPINX	TEMPLETON GLOBAL BOND FUND CLASS A M/F (TPINX)	Sale/Redemption	Sale	-94.39400
Thomas Roth	[REDACTED]	05/04/2017	05/04/2017	05/09/2017	CMCSA	COMCAST CORPORATION NEW CLASS A (CMCSA)	Purchase	Purchase	150.00000
Thomas Roth	[REDACTED]	05/04/2017	05/04/2017	05/05/2017	RSNRX	VICTORY GLOBAL NATURAL RESOURCES FUND CLASS A M/F (RSNRX)	Sale/Redemption	Sale	-197.23000

Account Name	Account Number	Date	Trade Date	Settlement Date	Symbol / CUSIP	Description	Category	Activity Type	Quantity
Tom SEP IRA	[REDACTED]	05/02/2017	05/02/2017	05/05/2017	CMCSA	COMCAST CORPORATION NEW CLASS A (CMCSA)	Purchase	Purchase	525.00000
Tom SEP IRA	[REDACTED]	03/30/2017	03/30/2017	04/04/2017	DXGM	DEXCOM INCORPORATED (DXGM)	Purchase	Purchase	175.00000
Total									

Account Name	Account Number	Date	Trade Date	Settlement Date	Symbol / CUSIP	Description	Category	Activity Type	Quantity
Tom SEP IRA	<del>63-1111-1111</del>	09/15/2017	09/15/2017	09/19/2017	ICUI	ICU MED INCORPORATED (ICUI)	Purchase	Purchase	175.00000
Tom SEP IRA	<del>63-1111-1111</del>	09/14/2017	09/14/2017	09/18/2017	TWTR	TWITTER INCORPORATED (TWTR)	Sale/Redemption	Sale	-1,700.00000
Tom SEP IRA	<del>63-1111-1111</del>	06/30/2017	05/30/2017	06/02/2017	UBVAX	JPMORGAN UNDISCOVERED MANAGERS BEHAVIORAL VAL CL.S.A M/F (UBVAX)	Sale/Redemption	Sale	-165.51100
Tom SEP IRA	<del>63-1111-1111</del>	05/30/2017	05/30/2017	06/02/2017	IP	INTERNATIONAL PAPER COMPANY (IP)	Purchase	Purchase	200.00000
Thomas Roth	<del>63-1111-1111</del>	05/30/2017	05/30/2017	06/02/2017	BABDX	BLACKROCK GLOBAL DIVIDEND PORTFOLIO FUND CLASS A M/F (BABDX)	Sale/Redemption	Sale	-1,388.85500
Thomas Roth	<del>63-1111-1111</del>	05/30/2017	05/30/2017	06/02/2017	IP	INTERNATIONAL PAPER COMPANY (IP)	Purchase	Purchase	350.00000
Tom SEP IRA	<del>63-1111-1111</del>	05/15/2017	05/15/2017	05/18/2017	AMECX	INCOME FUND OF AMERICA CLASS A - AMERICAN FUNDS M/F (AMECX)	Sale/Redemption	Sale	-385.08000
Tom SEP IRA	<del>63-1111-1111</del>	05/15/2017	05/15/2017	05/18/2017	SQM	SOCIEDAD QUIMICA MINERA DE CHI SPON ADR SER B (CHILE) (SQM)	Purchase	Purchase	250.00000

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Account Name	Account Number	Date	Trade Date	Settlement Date	Symbol / CUSIP	Description	Category	Activity Type	Quantity
Tom SEP IRA	82-10-100	05/10/2017	05/10/2017	05/15/2017	FKINX	FRANKLIN INCOME FUND CLASS A M/F (FKINX)	Sale/ Redempt ion	Sale	-5,312,34000
Tom SEP IRA	82-10-100	05/10/2017	05/10/2017	05/15/2017	AMECX	INCOME FUND OF AMERICA CLASS A - AMERICAN FUNDS M/F (AMECX)	Sale/ Redempt ion	Sale	-268,90400
Tom SEP IRA	82-10-100	05/10/2017	05/10/2017	05/15/2017	SHOP	SHOPIFY INCORPORAT ED CLASS A (CANADA) (SHOP)	Purchas e	Purchase	200.00000
Tom SEP IRA	82-10-100	05/09/2017	05/09/2017	05/12/2017	SVBI	SEVERN BANCORP ANNAPOLIS MD (SVBI)	Sale/ Redempt ion	Sale	-3,750,00000
Tom SEP IRA	82-10-100	05/09/2017	05/09/2017	05/12/2017	PSX	PHILLIPS 66 (PSX)	Sale/ Redempt ion	Sale	-250.00000
Tom SEP IRA	82-10-100	05/09/2017	05/09/2017	05/12/2017	GOOG	ALPHABET INCORPORAT ED CAP STK CLASS C (GOOG)	Purchas e	Purchase	50.00000
Str SEP IRA	82-10-100	05/08/2017	05/08/2017	05/11/2017	SGENX	FIRST EAGLE GLOBAL FUND CLASS A M/F (SGENX)	Purchas e	Purchase	136.11400
Str SEP IRA	82-10-100	05/08/2017	05/08/2017	05/11/2017	CMCSA	COMCAST CORPORATIO N NEW CLASS A (CMCSA)	Purchas e	Purchase	85.00000

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Account Name	Account Number	Date	Trade Date	Settlement Date	Symbol/ CUSIP	Description	Category	Activity Type	Quantity
Tom SEP IRA	68274995	11/06/2017	11/06/2017	11/08/2017	SHOP	SHOIFY INCORPORATED CLASS A (CANADA) (SHOP)	Purchase	Purchase	200.00000
Tom SEP IRA	68274995	10/27/2017	10/27/2017	10/31/2017	SQM	SOCIEDAD QUIMICA MINERA DE CHI SPON ADR SER B (CHILE) (SQM)	Sale/Redemption	Sale	-250.00000
Tom SEP IRA	68274995	10/27/2017	10/27/2017	10/31/2017	BIVV	BIOVERATIV INCORPORATED (BIVV)	Sale/Redemption	Sale	-14.00000
Tom SEP IRA	68274995	10/27/2017	10/27/2017	10/31/2017	GE	GENERAL ELECTRIC COMPANY (GE)	Sale/Redemption	Sale	-1,000.00000
Tom SEP IRA	68274995	10/27/2017	10/27/2017	10/31/2017	DXCM	DEXCOM INCORPORATED (DXCM)	Sale/Redemption	Sale	-425.00000
Tom SEP IRA	68274995	10/27/2017	10/27/2017	10/31/2017	CMG	CHIPOTLE MEXICAN GRILL INCORPORATED (CMG)	Sale/Redemption	Sale	-50.00000
Thomas	88274995	10/10/2017	10/10/2017	10/12/2017	AOBC	AMERICAN OUTDOOR BRANDS CORPORATION (AOBC)	Sale/Redemption	Sale	-20.00000
Thomas	88274995	10/04/2017	10/04/2017	10/06/2017	AOBC	AMERICAN OUTDOOR BRANDS CORPORATION (AOBC)	Purchase	Purchase	20.00000
Thomas	88274995	09/21/2017	09/21/2017	09/25/2017	TSLA	TESLA INCORPORATED (TSLA)	Sale/Redemption	Sale	-1.00000



Account Name	Account Number	Date	Trade Date	Settlement Date	Symbol / CUSIP	Description	Category	Activity Type	Quantity
Tom SEP IRA	[REDACTED]	12/11/2017	12/11/2017	12/13/2017	LITE	LUMENTUM HLDGS INCORPORAT ED (LITE)	Purchase	Purchase	275.00000
Tom SEP IRA	[REDACTED]	12/11/2017	12/11/2017	12/13/2017	BIIB	BIOGEN INCORPORAT ED (BIIB)	Sale/ Redempt	Sale	-28.00000
Tom SEP IRA	[REDACTED]	12/07/2017	12/07/2017	12/11/2017	AAPL	APPLE INCORPORAT ED (AAPL)	Purchase	Purchase	100.00000
Tom SEP IRA	[REDACTED]	12/07/2017	12/07/2017	12/11/2017	GS	GOLDMAN SACHS GROUP INCORPORAT ED (GS)	(Sale/ Redempt	Sale	-100.00000
Tom SEP IRA	[REDACTED]	12/07/2017	12/07/2017	12/11/2017	WFC	WELLS FARGO COMPANY NEW (WFC)	(Sale/ Redempt	Sale	-500.00000
Tom SEP IRA	[REDACTED]	12/07/2017	12/07/2017	12/11/2017	BABA	ALIBABA GROUP HLDG LIMITED SPONSORED ADS (CAYMAN ISLANDS) (BABA)	Purchase	Purchase	250.00000
Tom SEP IRA	[REDACTED]	11/15/2017	11/15/2017	11/17/2017	CMCSA	COMCAST CORPORATIO N NEW CLASS A (CMCSA)	Purchase	Purchase	175.00000
Tom SEP IRA	[REDACTED]	11/15/2017	11/15/2017	11/17/2017	SYF	SYNCHRONY FINL (SYF)	Purchase	Purchase	1,000.00000

**Schedule C – Interests in Non-Corporate Business  
Entities Doing Business with the County**

Do you have an interest in any non-corporate business entity (a sole proprietorship) that did business with the County during the reporting period?

Yes  No  - (If no, go to Schedule D)

**If Yes:** (Answer each question below. A separate Schedule C will be required for each business entity to be disclosed.)

1. Name and Address of the Principal office of the business entity?

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City/State/Zip: \_\_\_\_\_

2. Is the interest held directly by you or is it attributable to you? (See Paragraph E of Instructions for definition of "Attributable.")

Direct:  Attributable:

3. Do you hold the interest solely or is it jointly held with another?

Solely:  Jointly:

3A. If jointly, the percentage of your joint interest:  
\_\_\_\_\_ %

3B. Dollar value of your interest in the entity: \$ \_\_\_\_\_; or  
percentage of your interest in the entity: \_\_\_\_\_ %

4. Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

Yes  No

**If Yes:** give name of creditor: \_\_\_\_\_

5. Was any interest acquired during the reporting year?

Yes  No

**If Yes:**

5A. What month was the interest acquired?  
\_\_\_\_\_

5B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.)

\_\_\_\_\_

5C. From whom did you acquire the interest?

\_\_\_\_\_

5D. What consideration was given when the interest was acquired? (Dollar amount paid or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)

\_\_\_\_\_

6. Did you transfer any of your interest during the reporting period?

Yes  No

**If Yes:**

6A. What percentage of interest, if less than all, was transferred?  
\_\_\_\_\_ %

6B. What consideration did you receive for the interest in the entity? (Dollar amount paid or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property):

\_\_\_\_\_

6C. To whom did you transfer your interest in the entity?

\_\_\_\_\_

**If you have additional interests in sole proprietorship(s) that did business with the County during the reporting year, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.**

### **Schedule D - Gifts**

During the reporting period; did you receive any gift(s), directly or indirectly, in excess of a value of \$20 or a series of gifts from the same donor with a cumulative value of \$100 or more from a person or entity who: 1) did business with the County; 2) engaged in an activity that was regulated or controlled by the County; or 3) was a regulated lobbyist? Gifts received from a member of the official's or employee's immediate family, another child, or a parent of the individual, do not need to be disclosed.

Yes  No  - (If no, go to Schedule E)

**If Yes; (Answer each question below. A separate Schedule D will be required for each gift.)**

1. Who gave you the gift? \_\_\_\_\_

2. What was the nature of the gift? (Example: book, restaurant meal, theater tickets, book, etc.) \_\_\_\_\_

3. What was the value of the gift? \_\_\_\_\_

4. If the gift was given to someone else at your direction, list the identity of the recipient of the gift. \_\_\_\_\_

**Please use additional sheet(s), if necessary, for any additional entries.**

**Schedule E – Officers, Directorships, Salaried Employment and Similar Interests**

During the reporting period, did you or any member of your immediate family (spouse or dependent child) have any salaried employment or hold any office or directorship with an entity that did business with the County?

Yes  No  - (If no, go to Schedule F)

**If Yes; (Answer each question below. A separate Schedule E will be required for each disclosure.)**

1. What is the name and address of the principal office of the business entity?  
Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City/State/Zip: \_\_\_\_\_

2. Who was the individual who held the position or interest listed above?  
(Example: yourself, spouse, dependent child)

Self:  Spouse:  Dependent child:

2A. Name of spouse or dependent child:  
\_\_\_\_\_

3. What is the title of the office you, your spouse or dependent child held?  
(Example: limited partner, director, treasurer, chair of the board of trustees, etc.) \_\_\_\_\_

4. What year did the position begin? \_\_\_\_\_

5. With what County Agency(ies), Board(s) or Commission(s) did the business entity do business?  
\_\_\_\_\_

6. What was the nature of the business? (Example: regulated by your agency, registered under the lobbying law, or involved with sales and contracts with the County) \_\_\_\_\_

**If necessary, please use additional sheet(s) for any additional entries.**

**Schedule F – Debts You Owe**

During the reporting period, did you owe a debt (excluding a retail credit account) to a financial entity that did business with the County? **[NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the County as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.]**

Yes  No  - (If no, go to Schedule G)

**If Yes; (Answer each question below. A separate Schedule F will be required for each debt to be disclosed.)**

1. To whom did you owe the debt? (Do not include consumer credit debts)  
\_\_\_\_\_

2. When was the debt incurred? \_\_\_\_\_

3. What are the interest rate and terms of payment of the debt?

Interest Rate \_\_\_\_\_

Terms (monthly, bimonthly, annually, etc): \_\_\_\_\_

4. What was the amount of the debt as of the end of the reporting period. If debt existed during the reporting period but was paid in full at the end of the period, put \$0. \$ \_\_\_\_\_

5. Did the principal of the debt increase \_\_\_\_\_ or decrease \_\_\_\_\_ during the reporting period, and by how much? \$ \_\_\_\_\_

6. Was any security given for the debt?

Yes  No

**If Yes;** Please state what type of security was given (home, car, boat, etc):  
\_\_\_\_\_

7. If this is a transaction in which you were involved, but which resulted in a debt being owed by your spouse or dependent child, identify your spouse or child and describe the transaction. \_\_\_\_\_

**If necessary, please use additional sheet(s) for any additional entries.**

**Schedule G – Family Members Employed by the County**

During the reporting period, were any members of your immediate family (spouse or dependent children) employed by the County in any capacity?

Yes  No  - (If no, go to Schedule H)

**If Yes: (Answer each question below. A separate Schedule G will be required for each member of the immediate family who is employed by the County.)**

- 1. What is the relation and name of the immediate family member employed by the County? \_\_\_\_\_
- 2. What is the name of the agency that employed the member of your immediate family? \_\_\_\_\_
- 3. What was the title of your immediate family member's position in the County agency during the reporting period? \_\_\_\_\_

**If necessary, please use additional sheet(s) for any additional entries.**

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## **Schedule H - Employment/Business Ownership**

During the reporting period, did you or any member of your immediate family, receive any earned income from an entity other than Baltimore County? Please note that your dependent child's employment or business ownership does not need to be disclosed unless the place of employment or the business entity is subject to regulation or the authority of the County or has contracts in excess of \$10,000 with the County, however the employment business ownership of other immediate family members must be disclosed.

Yes  No  - (If no, go to Schedule I)

**If Yes; (Answer each question below. A separate Schedule H will be required for each member of the immediate family who had employment or ownership of a business entity.)**

1. If, during the reporting period, you or a member of your immediate family had employment from which you or they earned income, list the relation, name, and address of the employment.

Name: Tom Quirk  
Relationship: Self  
Name of Employer: Raymond James  
Address: 800 Carrillon Parkway  
City/State/Zip: St. Petersburg, FL 33718

2. If, during the reporting period, you or a member of your immediate family wholly or partially owned any business entity from which income was earned, list the relation, name and address of the business entity.

Name: Tom Quirk  
Relationship: self  
Name of Business Entity: American Family Life Insurance of Columbus  
Address: 1932 Wynniann Road  
City/State/Zip: Columbus, GA 31999

**If necessary, please use additional sheet(s) for any additional entries.**

### FOR ELECTED OFFICIALS ONLY

If an elected official has a change to salaried employment as listed above, the elected official shall file a supplement to this schedule within 30 days after the change. This requirement applies only to the elected official himself or herself.



**Schedule I – Other**

Is there any additional information or interest you would like to disclose?  
 Tom Quirk works as a Certified Financial Planner Practitioner and owns an independent company - Retirement & Investment Group LLC which affiliate with Raymond James Financial Services (RJFS). RJFS may seek to do business with Baltimore County Municipal Finance - although we are NOT aware of any recent or pending arrangement. RJFS compliance contact and fixed income compliance phone is 727-567-1000. Tom Quirk has a business succession agreement on file and 100% equity owner of Retirement & Investment Group, LLC. Tom has over 300 client/family relationships in 19 states which include active and retired Baltimore County employees. Tom holds cash at various banks including PNC, Capital One, and Baltimore County Credit Union. He owns several term life policies. Tom manages and invests close to \$200 million in client assets.

**STANDARDS OF CONDUCT**

The Baltimore County Public Ethics Law includes standards of conduct applicable to financial disclosure filers and other County employees. The standards address disqualification from participation, prohibited secondary employment, prohibited ownership interests, misuse of position, prohibited solicitation and acceptance of gifts, misuse of confidential information, post-employment limitations, prohibited dealings with the County, and procurement specifications assistance restrictions. The Law provides for exceptions and exemptions under certain circumstances.

Filers wanting more detailed information about these requirements should contact the offices of the Baltimore County Ethics Commission.