

BALTIMORE COUNTY ETHICS COMMISSION  
400 Washington Avenue, Room 219  
Towson, Maryland 21204  
(410) 887-5904



**FINANCIAL DISCLOSURE STATEMENT - FORM #1**

**Instructions:**

1. Fill in the preliminary information requested in the box below. Be sure to correctly identify the reporting period.
2. Upon completion of your financial disclosure statement, sign and date the lower portion of the page.

Regular Reporting Period: January 1 through December 31, **2016**

or

Termination Report: January 1 through \_\_\_\_\_, **2016**

**PLEASE PRINT OR TYPE**

FIRST NAME	INITIAL	LAST NAME
David	S	Marks
AGENCY AFFILIATION (INCLUDE DEPARTMENT AND UNIT WHERE APPLICABLE)		
Baltimore County Council		
CURRENT AGENCY ADDRESS (WHERE YOU CAN BE SENT CORRESPONDENCE)		
400 Washington Avenue Towson MD 21204		
CURRENT POSITION OR OFFICE HELD WITH COUNTY, IF ANY (OR OFFICE FOR CANDIDACY)		
County Council member		
E-MAIL ADDRESS		
dmarks@baltimorecounty.md.gov		

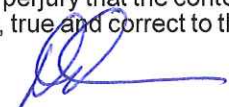
This financial disclosure statement describes all interests and related transactions and matters required to be disclosed by the Baltimore County Public Ethics Law, Baltimore County Code (2015), Article 7, Title 1, Subtitle 4, with respect to the period indicated and pertaining to the person filing the statement. The statement consists of this cover sheet, the checklist, and Schedules A through I.

I hereby make oath or affirm under the penalties of perjury that the contents of this financial disclosure statement, including the Schedules attached hereto, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: \_\_\_\_\_

(SEAL)

DATE: \_\_\_\_\_

  
3/2/17

**Privacy Notice**

The County Ethics Law requires the collection of this information, which will be used primarily for public disclosure and to determine compliance with the Law. The information may be disclosed to any requesting person, including officials of State, local or federal government, who records their name and address, and this record will be provided to the filer upon request. Financial information provided by ELECTED OFFICIALS ONLY will be posted on the County's website. The subject has the right to review, correct and amend the record as set forth in the County Ethics Law §7-1-405. Failure to file or to report information required by County Ethics Law can subject you to civil and administrative penalties including termination or other disciplinary action, suspension of pay, a late filing fee up to \$250, and a civil fine of up to \$1,000 per day. Willful and false filing is subject to criminal penalty for perjury pursuant to Criminal Law Article §9-101, Annotated Code of Maryland.

## Schedule A – Real Property Interests

Do you have any interest (**as an owner or a tenant**, including interests in time shares) in real property in Baltimore County or in any other state or country?

Yes  No  - (If no, go to Schedule B)

**If Yes; (Answer each question below. A separate Schedule A will be required for each property you need to disclose.)**

1. What is the address or legal description of the property? (Give Street Address, if you know it. **If the property is your primary residence, you may enter the lot and block legal description instead, if you wish**)

Street Address: 8925 Kilkenny Circle  
City/State/Zip: Baltimore MD 21236

2. What kind of property is it?

Improved  
(indicate whether property is  residential or  commercial)

Unimproved (vacant lot)

3. Is the interest held directly by you or is it attributable to you? (See Paragraph E of Instructions for definition of "Attributable.")

Direct  Attributable

4. Are you the owner or tenant?

Owner  Tenant

5. Do you hold the interest solely or is it jointly held with another?

Solely  Jointly  Tenants by the Entirety

If held jointly, or by tenants by the entirety, the name(s) of the other joint owner(s): jointly with Stephanie Smith

6. Are there any legal conditions or encumbrances on the property? (Example: mortgages, liens, contracts, options, etc.)

Yes  No

**If Yes**, what is/are the name(s) of the lender(s), creditor(s), lien holder(s), etc? Chase

7. What date was the property acquired? 10/31/2003

8. How was the property acquired? (Example: purchase, gift, inheritance, etc.)  
purchase

9. From whom was the property acquired? (Name of individual or entity from whom you purchased or inherited the property or who gifted the property to you.)  
Ignazio and Carol Rifici

10. What consideration was given when the property was acquired? (Dollar amount paid or, if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)  
\$255,000

11. Have you transferred any interest in this property during the reporting period?

Yes  No

**If Yes;**

11.A. What percentage of interest did you transfer: 50 %

11.B. What consideration did you receive for the interest: none

11.C. To whom did you transfer the interest: Stephanie Smith

**If you have any additional interests in real property in Baltimore County, any other county, any other state, or any other country, please use additional sheet(s), if necessary, and respond to each above question for each such entry.**

Title of the property was transferred to Ms. Smith in 2016. I do not currently own the property.

Also, I am a tenant at 49 Chesthill Court, Baltimore, MD 21236, property owned by Charles and Gloria Marks since March 28, 1989.

## **Schedule B – Interests in Corporations and Partnerships**

Did you have any interest in any corporations, partnerships, limited liability partnerships (LLP) or limited liability companies (LLC) during the reporting period whether or not the entity did business with the County?

Yes  No  - (If no, go to Schedule C)

**If Yes; (Answer each question below. A separate Schedule B will be required for each interest you need to disclose.)**

1. What is the name of the entity? Include the complete name of the entity, do not identify solely by trading symbol: \_\_\_\_\_

2. Does the stock of the corporation trade on a stock exchange?

Yes  No

**If "No,"** the legal address of the entity's principal office.

3. Is the interest held directly by you or is it attributable to you? (See Paragraph E of Instructions for definition of "Attributable.")

Directly:  Attributable:

4. Do you hold the interest in your name alone, or is it held jointly?

In your name alone:  Jointly:   
If jointly, the percentage of your interest: \_\_\_\_\_%

5. What is the nature of your interest and its dollar value or the number of shares? (Example: stock, notes, bonds, puts, calls, straddles, purchase options, etc.) If in a non-publicly traded entity or LLP or LLC, report the percentage of ownership.

Type: \_\_\_\_\_  
Dollar Value of Shares: \_\_\_\_\_ or Number of Shares: \_\_\_\_\_  
percentage of ownership: \_\_\_\_\_%

6. Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

Yes  No

**If Yes,** name of entity holding the encumbrance:

\_\_\_\_\_

7. Did you acquire an interest in the entity during the reporting period?

Yes  No

**If Yes:**

7A. In what month was the interest acquired?

\_\_\_\_\_

7B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.):

\_\_\_\_\_

7C. From whom did you acquire the interest in the entity? (If you purchased it from a brokerage, the name of the brokerage):

\_\_\_\_\_

7D. What consideration was given when the interest was acquired? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property):

\_\_\_\_\_

8. Have you transferred any interest in this entity during the reporting period?

Yes  No

**If Yes:**

8A. What portion of the interest was transferred?

\_\_\_\_\_

8B. What consideration did you receive for the interest in the entity? (Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property):

\_\_\_\_\_

8C. To whom did you transfer your interest in the entity?

\_\_\_\_\_

**If you have additional interests in corporations or partnerships, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.**

**Schedule C – Interests in Non-Corporate Business  
Entities Doing Business with the County**

Do you have an interest in any non-corporate business entity (a sole proprietorship) that did business with the County during the reporting period?

Yes  No  - (If no, go to Schedule D)

**If Yes:** (Answer each question below. A separate Schedule C will be required for each business entity to be disclosed.)

1. Name and Address of the Principal office of the business entity?

Name: \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

2. Is the interest held directly by you or is it attributable to you? (See Paragraph E of Instructions for definition of "Attributable.")

Direct:  Attributable:

3. Do you hold the interest solely or is it jointly held with another?

Solely:  Jointly:

3A. If jointly, the percentage of your joint interest:  
\_\_\_\_\_%

3B. Dollar value of your interest in the entity: \$\_\_\_\_\_ ; or  
percentage of your interest in the entity: \_\_\_\_\_%

4. Are there any legal conditions or encumbrances that apply to your interest in the entity? (Example: mortgages, liens, contracts, options, etc.)

Yes  No

**If Yes:** give name of creditor: \_\_\_\_\_

5. Was any interest acquired during the reporting year?

Yes  No

**If Yes:**

5A. What month was the interest acquired?  
\_\_\_\_\_

5B. How was the interest in the entity acquired? (Example: purchase, gift, will, etc.)

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5C. From whom did you acquire the interest?

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5D. What consideration was given when the interest was acquired? (Dollar amount paid or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property) \_\_\_\_\_

6. Did you transfer any of your interest during the reporting period?

Yes  No

**If Yes:**

6A. What percentage of interest, if less than all, was transferred?  
\_\_\_\_\_ %

6B. What consideration did you receive for the interest in the entity? (Dollar amount paid or if you received the property as a gift or inherited it, the fair market value and terms at the time you transferred your interest in the property):

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6C. To whom did you transfer your interest in the entity?

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**If you have additional interests in sole proprietorship(s) that did business with the County during the reporting year, please use additional sheet(s) if necessary, and answer each of the above questions for each additional entry.**

## **Schedule D – Gifts**

During the reporting period, did you receive any gift(s), directly or indirectly, in excess of a value of \$20 or a series of gifts from the same donor with a cumulative value of \$100 or more from a person or entity who: 1) did business with the County; 2) engaged in an activity that was regulated or controlled by the County; or 3) was a regulated lobbyist? Gifts received from a member of the official's or employee's immediate family, another child, or a parent of the individual, do not need to be disclosed.

Yes  No  - (If no, go to Schedule E)

**If Yes; (Answer each question below. A separate Schedule D will be required for each gift.)**

1. Who gave you the gift? \_\_\_\_\_

2. What was the nature of the gift? (Example: book, restaurant meal, theater tickets, book, etc.) \_\_\_\_\_

3. What was the value of the gift? \_\_\_\_\_

4. If the gift was given to someone else at your direction, list the identity of the recipient of the gift. \_\_\_\_\_

**Please use additional sheet(s), if necessary, for any additional entries.**



**Schedule E – Officers, Directorships, Salaried  
Employment and Similar Interests**

During the reporting period, did you or any member of your immediate family (spouse or dependent child) have any salaried employment or hold any office or directorship with an entity that did business with the County?

Yes  No  - (If no, go to Schedule F)

**If Yes; (Answer each question below. A separate Schedule E will be required for each disclosure.)**

1. What is the name and address of the principal office of the business entity?

Name: Maryland State Highway Administration  
Address: 707 N. Calvert Street  
City/State/Zip: Baltimore MD 21202

2. Who was the individual who held the position or interest listed above?  
(Example: yourself, spouse, dependent child)

Self:  Spouse:  Dependent child:

2A. Name of spouse or dependent child:

Rachael Gingrich

3. What is the title of the office you, your spouse or dependent child held?  
(Example: limited partner, director, treasurer, chair of the board of trustees, etc.) governmental affairs

4. What year did the position begin? January 2011

5. With what County Agency(ies), Board(s) or Commission(s) did the business entity do business?

Baltimore County Dept. of Public Works

6. What was the nature of the business? (Example: regulated by your agency, registered under the lobbying law, or involved with sales and contracts with the County) See below

**If necessary, please use additional sheet(s) for any additional entries.**

My spouse served in a governmental affairs capacity with a state agency. She is no longer employed with that agency.

## **Schedule F – Debts You Owe**

During the reporting period, did you owe a debt (excluding a retail credit account) to a financial entity that did business with the County? **[NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the County as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.]**

Yes  No  - (If no, go to Schedule G)

**If Yes; (Answer each question below. A separate Schedule F will be required for each debt to be disclosed.)**

1. To whom did you owe the debt? (Do not include consumer credit debts)

\_\_\_\_\_

2. When was the debt incurred? \_\_\_\_\_

3. What are the interest rate and terms of payment of the debt?

Interest Rate \_\_\_\_\_  
Terms (monthly, bimonthly, annually, etc): \_\_\_\_\_

4. What was the amount of the debt as of the end of the reporting period. If debt existed during the reporting period but was paid in full at the end of the period, put \$0. \$ \_\_\_\_\_

5. Did the principal of the debt increase \_\_\_\_\_ or decrease \_\_\_\_\_ during the reporting period, and by how much? \$ \_\_\_\_\_

6. Was any security given for the debt?

Yes  No

**If Yes;** Please state what type of security was given (home, car, boat, etc):

\_\_\_\_\_

7. If this is a transaction in which you were involved, but which resulted in a debt being owed by your spouse or dependent child, identify your spouse or child and describe the transaction. \_\_\_\_\_

**If necessary, please use additional sheet(s) for any additional entries.**

## **Schedule G – Family Members Employed by the County**

During the reporting period, were any members of your immediate family (spouse or dependent children) employed by the County in any capacity?

Yes  No  - (If no, go to Schedule H)

**If Yes; (Answer each question below. A separate Schedule G will be required for each member of the immediate family who is employed by the County.)**

1. What is the relation and name of the immediate family member employed by the County? \_\_\_\_\_

2. What is the name of the agency that employed the member of your immediate family? \_\_\_\_\_

3. What was the title of your immediate family member's position in the County agency during the reporting period? \_\_\_\_\_

**If necessary, please use additional sheet(s) for any additional entries.**

## Schedule H - Employment/Business Ownership

During the reporting period, did you or any member of your immediate family, receive any earned income from an entity other than Baltimore County? Please note that your dependent child's employment or business ownership does not need to be disclosed unless the place of employment or the business entity is subject to regulation or the authority of the County or has contracts in excess of \$10,000 with the County, however the employment business ownership of other immediate family members must be disclosed.

Yes  No  - (If no, go to Schedule I)

**If Yes; (Answer each question below. A separate Schedule H will be required for each member of the immediate family who had employment or ownership of a business entity.)**

1. If, during the reporting period, you or a member of your immediate family had employment from which you or they earned income, list the relation, name, and address of the employment.

Name: David Marks  
Relationship: EO -  
Name of Employer: Loyola University Maryland  
Address: 4501 N. Charles Street  
City/State/Zip: Baltimore MD 21210

2. If, during the reporting period, you or a member of your immediate family wholly or partially owned any business entity from which income was earned, list the relation, name and address of the business entity.

Name: Rachael Gingrich  
Relationship: Spouse  
Name of Business Entity: Md. State Highway Administration  
Address: 707 N. Calvert Street  
City/State/Zip: Baltimore MD 21202

**If necessary, please use additional sheet(s) for any additional entries.**

### FOR ELECTED OFFICIALS ONLY

If an elected official has a change to salaried employment as listed above, the elected official shall file a supplement to this schedule within 30 days after the change. This requirement applies only to the elected official himself or herself.



## Mike Field

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**From:** David Marks  
**Sent:** Friday, April 28, 2017 11:56 PM  
**To:** Mike Field  
**Cc:** Thomas Peddicord; Thomas Bostwick  
**Subject:** Disclosure addendum

Mike,

I initially debated whether to list this on the disclosure forms, but am doing so now and asking that this please be attached to the forms I already submitted to the Baltimore County Ethics Commission.

In late October 2016, I was invited by the Government of Taiwan - through the office of Maryland's Secretary of State - to participate in a cultural program in that country. Other elected officials from around the United States also attended. The cost for airline travel, accommodations, food, and other items provided by the Government of Taiwan is estimated at about \$2,000.00.

To the best of my knowledge, Taiwan has no business with Baltimore County; however, I am erring on the side of disclosure and asking that this be attached to my forms due on April 30th. Thank you.